The Role of Architecture in Managing COTS Based High Integrity Systems

Ground Systems Architecture Workshop
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Contact Information
Rodney Davis
Chief Technology Officer
(321) 264-1193
davisrd@cctcorp.com
http://www.cctcorp.com
Agenda

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- What is Architecture?
- What is COTS?
- What is the Issue with COTS?
- So Why Use COTS?
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Strategic Questions for COTS Based Systems

http://www.sei.cmu.edu/cbs/

- Which technologies and products are most appropriate?
- How can product mismatches be rectified?
- How can we engineer system attributes such as reliability, security, and performance in spite of decreasing control over individual system components?
- How do we integrate COTS products with the custom code that continues to provide the core of many systems?
- How do we take advantage of COTS while delivering a system that can evolve over a long lifetime?

Architecture strategies can help address these questions!
What is a High Integrity System?

- Software that must be trusted to work dependably in some critical function, and whose failure to do so may have catastrophic results, such as serious injury, loss of life or property, business failure or breach of security.

- 3 Main Objectives of High Integrity Systems:
  - Confidentiality – Protecting against unauthorized accidental disclosures of info caused by system failures or user error.
  - Integrity – Protecting against unauthorized modification or info, protecting against unintentional mod of info caused by system failures or user errors
  - Availability – Protecting against unauthorized withholding of info or resources, protecting against failure of resources
What is Architecture?

- Architectures deal with abstraction, decomposition and composition, style, and aesthetics. Management of systems architecture provides the means for controlling complexity.

- Deals with the design and implementation at the highest level. Postponing the detailed decisions until the architecture foundations are laid is critical.

- Architecture is the set of decisions about any system that keeps its implementers and maintainers from exercising needless creativity.

- The architecture of a system consists of the structure(s) of its parts, the nature and relevant externally visible properties of those parts, and the relationships and constraints between them.
What is COTS?

- **FAR** - Something that one can buy, ready-made, from a manufacturer.
  - It exists a priori
  - It's available to the general public
  - It can be bought, leased, or licensed.

- **Other Variants**
  - Customer has no control over specification, schedule, or evolution
  - Supported and evolved by the vendor, who retains IP rights
  - Used without source code modification

- **Further Extension**
  - Open source software can be viewed as COTS in many regards
What’s the Issues with COTS?

- Loss of control
  - Schedule, license agreements, & product discontinuation
  - Will the COTS vendor be in business in a few years?
  - The external functionality of a COTS product is defined by the vendor.

- Introduces discontinuity in the understanding of the system as a whole.
  - Design information is typically limited.
  - They are often provided as “black boxes”.
  - There is usually little revelation of the rationale underlying the product design
  - Deficiency of info increases the possibility of introducing design errors

- COTS don’t necessarily conform to interface standards
  - Lack of commonality with other products
  - Long-term maintenance issues, especially for long life cycle systems

- Complexity becomes more difficult with multiple COTS integration, particular with regard to maintenance

- Perceived benefits often center around claims of “tried and tested”.
  - Claims are rarely expressed in a form that can be applied to address regulations.

- Strict compliance with regulations/standards may exclude the use of COTS in critical systems, especially in higher integrity systems.
So Why Use COTS?

- **Cost savings and avoidance**
  - You don’t have to pay for the capabilities improvements driven from the market
    - Continuous change and improvements are a two edged sword
  - Cost of maintenance is amortized over many customers

- **Increased capability**
  - Usually a faster life cycle

- **Increased maturity ... hopefully**

- **Can’t get there from here without it**
System Properties for COTS Based Systems

- Service Level Tuning – Service tuning should be easy
- Adaptable Configuration – Components are added, deleted, updated, or replaced over time.
- Visibility – Need to be able to monitor COTS behavior
- Fault Isolation and Exception Handling – Identify and isolate faults ASAP.
- Open System – Openness allows for extension and integration
- Security – Enabled by architecture
- Integration Support – Architecture defines and supports integration (exception handling, concurrency control, common data models, & common user interface)
Key Architecture Principles

- Abstraction / Simplicity - Most important quality
- Interoperability – Ability to change functionality and interpretable data between entities
- Extensibility – Support for unforeseen uses & new requirements. Sometimes in conflict with Interoperability
- Symmetry – common interface for a wide range of components
- Separation of Concerns – Limits scope of changes as system evolves
- Metadata – Support for dynamic reconfigurability via self-descriptive services/information
- Separation of Hierarchies - Layering
Architectural Properties for COTS Based Systems

Architect approaches to achieve desired properties

- **Connection Infrastructure** – means of info and control transfer
  - Minimize coupling, & emphasize adaptability
  - Minimize connection types (Pipes, procedure calls, events, etc.)
  - Use open standards
  - Consider security

- **Interconnection Topology** – Minimize number of interconnections and increase understanding (KISS)

- **Interfaces** – defines syntax and semantics.
  - Interface standards – only available in mature domains
  - Wrappers/adapters
    - To conform to Standards
    - To reduce impact to system for changes to a wrapped component
    - Provide a standard interface
    - Add or hide functionality
    - Control look and feel
    - Improve security level

- **Tailorability** – Allow for adaptability without accessing source

- **Architectural Style** – Interconnection strategy of components and control and data flow (i.e. Multi-tiered client/server, Dataflow, Mediator)

- **Run-time Instrumentation** – Both in COTS and glue for fault isolation, & troubleshooting

- **Collaborations** – Simplifies complex, multi-component services.
Strategies Summary

■ Choosing Wisely
  - Technology and product forecasting
    • Get involved in the market. Apply influence when you can
  - Open Systems/Standards
    • Can offset issues rapid technology change
    • Open specs, interfaces, services, and data formats (Fully defined, available to the public, maintained by consensus (market vs. formal?)
    • Avoid becoming captive to the products of a single vendor

■ Product evaluation and qualification
  - Focus is to build understanding/experience
  - Look out for systematic errors arising from badly fitted COTS

■ Architect/Engineer for security and reliability
  - Use of patterns can be helpful
    • Facades and adapters for isolation
    • Mediators to encapsulate interdependencies between resources
  - Patterns may have to be mined from COTS during evaluation
  - Must retain structure and cohesiveness yet allow the system to respond easily to changes
  - Analysis of alternatives
  - Document architecture implications of decisions. Supports evolving architecture
Abstract Reference Model

- Product Specific
- Mission Applications
- Standard Services
- End Item Interface Applications
- System Management Services
- Security Services

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Example Layered Architecture

System Applications
End Item I/F Application
User Application

Data Processing
User I/F
Archival/Retrieval
Simulation
End Item Protocol I/F
Health and Status
Database I/F
Interprocess Communications
Application Development

Applications

Applications Services

System Services

System Support

System Calls
Data Types
Structured Text Services
Exception Processing
Time Services
Device Drivers
System Security

Operating Systems
COTS
Relationships Between COTS and System

System

Requires From
Provides For
Requires From
Provides For

COTS

System Application

Requires From
Provides For
Requires From
Provides For

Service Wrapper

COTS
Example Encapsulation Approach

*IMC_IF_Mod

Each module has a pointer to a standard comm module for system communications.

Initial protocol is unknown, but changes during runtime as protocol is resolved.

High level interface used by modules.

Protocol specific packages that implement the proper interface.
Example Connection Infrastructure

1. Inter-thread, Intra-process
2. Inter-process, Non-distributed
3. Inter-node, Intra-network
4. Inter-node, Inter-network

- Network node
- Process (GUIs, Apps)
- Thread
- Communications

End Items:
- PLs
- GSE
- DBs
- Archives

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Example Service Layers

**Application Layer**
- Node 1
  - Application
  - MW IPC Services
  - Data Filtering
  - Data Copying
  - Publish/Subscribe
  - Middleware Adapters

**Domain Services**
- Node 1
  - Middleware Management
  - Distribution Services

**Operating System**
- Node 1
  - OS Kernel
  - Network Services
  - Transport Protocols
  - Network Adapters

**Physical Layer**
- Network

- Command/Monitor
  - Set/Get Requests
  - Data Registration

- Connection Management
  - MW Configuration
  - Routing Tables
  - Data Scheduling
  - Platform Adapters

- Native IPC Services
  - Task Scheduling
  - Resource Locking
  - Device I/O Subsystem
Example Concepts
Common Classification Architecture

User Interface
- Logins
- Desktop
- GUI Displays
- User Apps
- Heavy Clients
- Thin Clients
- Remote Displays

Data Processing
- Standard Services
  - User Interface
  - Commanding
  - RTDB
  - Monitoring
  - Retrieval
  - Data Distribution
  - Security
  - Archive
  - Configuration
- Applications
  - SIM Apps
  - System Apps
  - Domain Apps
  - Tools

External Interface
- OS Kernel
- Device Drivers
- EIIF Applications
- Protocol Adapters
- Remote IF Applications
- Network Adapters
- Internet Servers
- Firewalls

End Items
- Nets
- PLs
- GSE
- DBs
- Archives
- Legacy

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Example Concepts

Common Interoperable Architecture

System is distributed across multiple heterogeneous nodes and networks

System is based on a common Reference Architecture

System is built from standard general-purpose components

System reuses special-purpose interface adapters

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Summary

- Architecture for COTS integration is crucial to system integrity and system life cycle
- Understanding of COTS is critical to a complete architecture
- Traditional strategies of good design practice are also applicable to COTS integration. Although scoping is necessarily more organic or continuous
Backup Slides
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- Definition and Classification of COTS, Morisio, Torchiano, 2002
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Relevant Gov COTS Policies

- Clinger-Cohen: “Increase acquisition and incorporation of COTS”.
- FAR: Acquire COTS & NDI when available to needs
- DODD 5000.1: “If use or mod of existing … equipment will not meet the need, give top priority to … COTS
- DOD 5000.2-R: “Consider COTS/NDI the primary source of supply”
- JTA & DII/COE: Specifies C4I performance standards info processing, transfer, content, format and security.
  - Emphasis is interoperability
  - DII/COE establishes compliance levels